



# Analysing Administrative Measures: Unveiling Insights through Statistical Data

Brandon Agius

Enforcement Section



# Agenda

- Enforcement Measures: Key Statistical Takeaways
- AML/CFT Breaches: Analysis (2021 – 2023)
- Administrative Measures: Sector Analysis (2021 – 2023)
- Insights on Directives Imposed & Directives Concluded (2021 – 2023)



# Enforcement Measures: Key Statistical Takeaways

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## Administrative Measures imposed following a Compliance Review:

Between 2021 – 2022:

- 62 Administrative Measures Imposed (58 SPs)
- 280 AML/CFT Breaches Identified
- 57 Administrative Penalties (over €14.7M)
- 25 Reprimands Imposed
- 42 Directives Imposed
- 23 Directives Completed (Over 60 meetings held)

2023:

- 28 Administrative Measures Imposed (28 SPs)
- 139 AML/CFT Breaches Identified
- 24 Administrative Penalties (over €3M)
- 11 Reprimands Imposed
- 20 Directives Imposed
- 18 Directives Completed (Over 40 meetings held)



# AML/CFT Breaches: Analysis

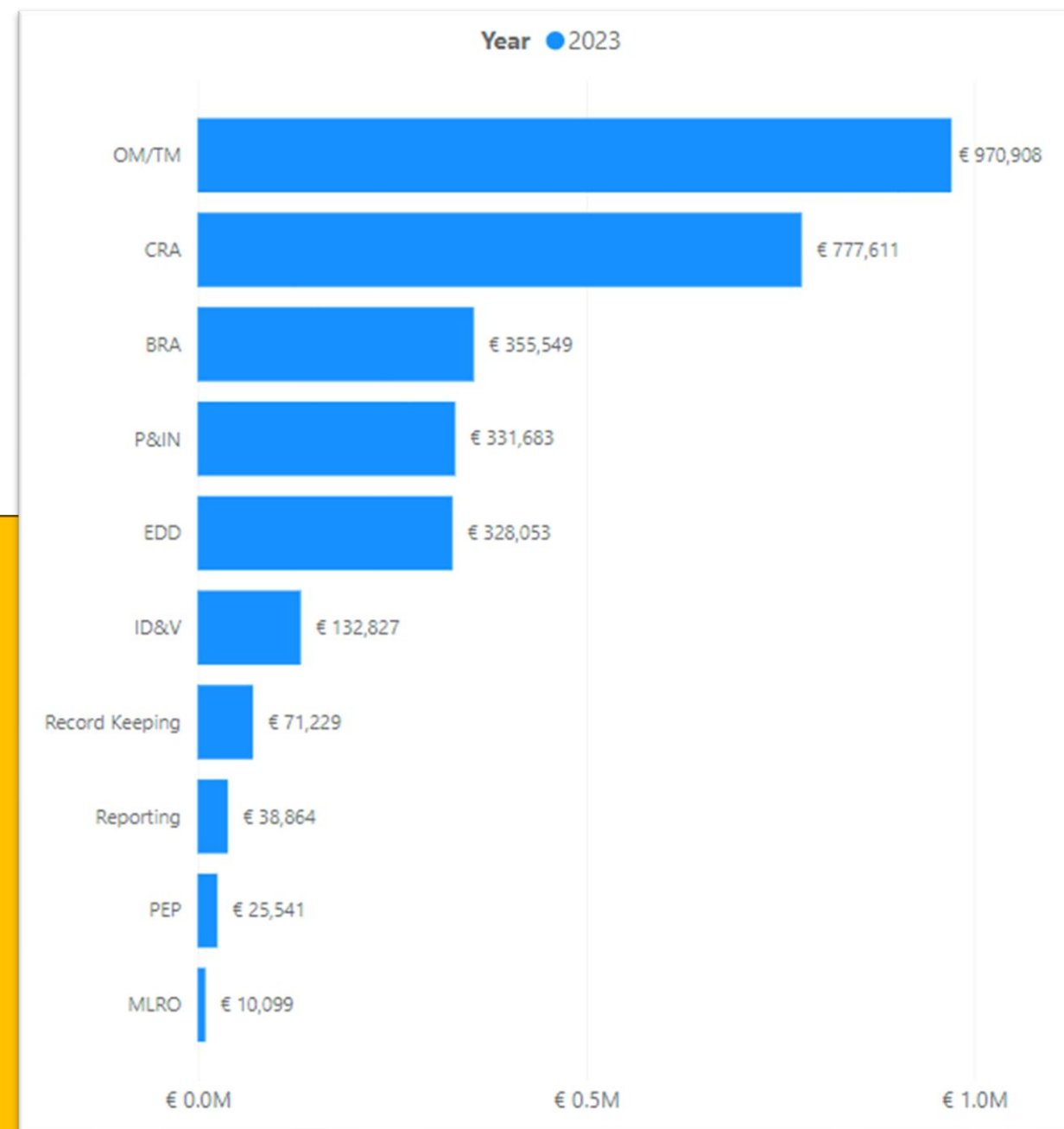
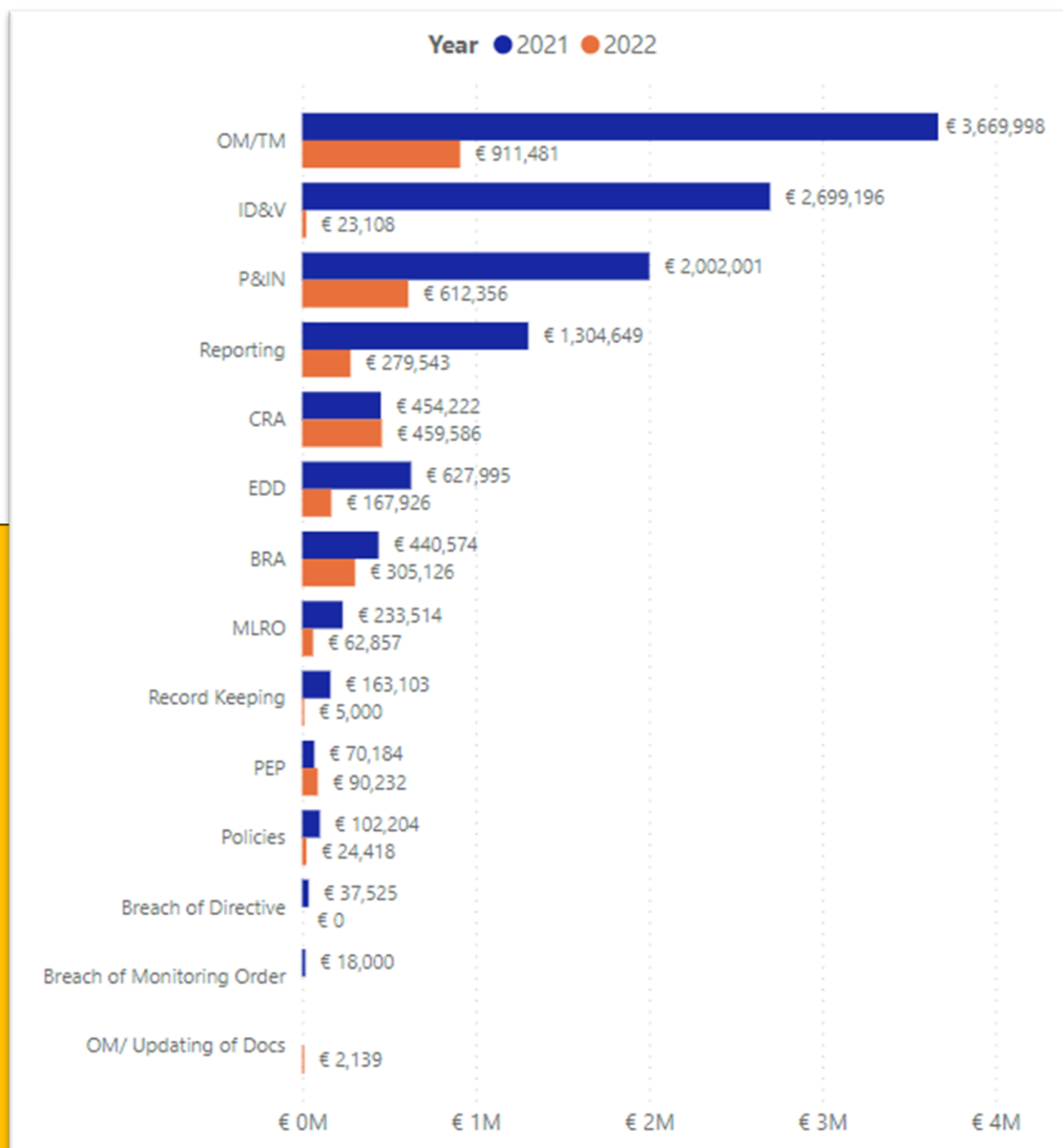
(2021 – 2023)





# AML/CFT Breaches which led to the imposition of an Administrative Penalty

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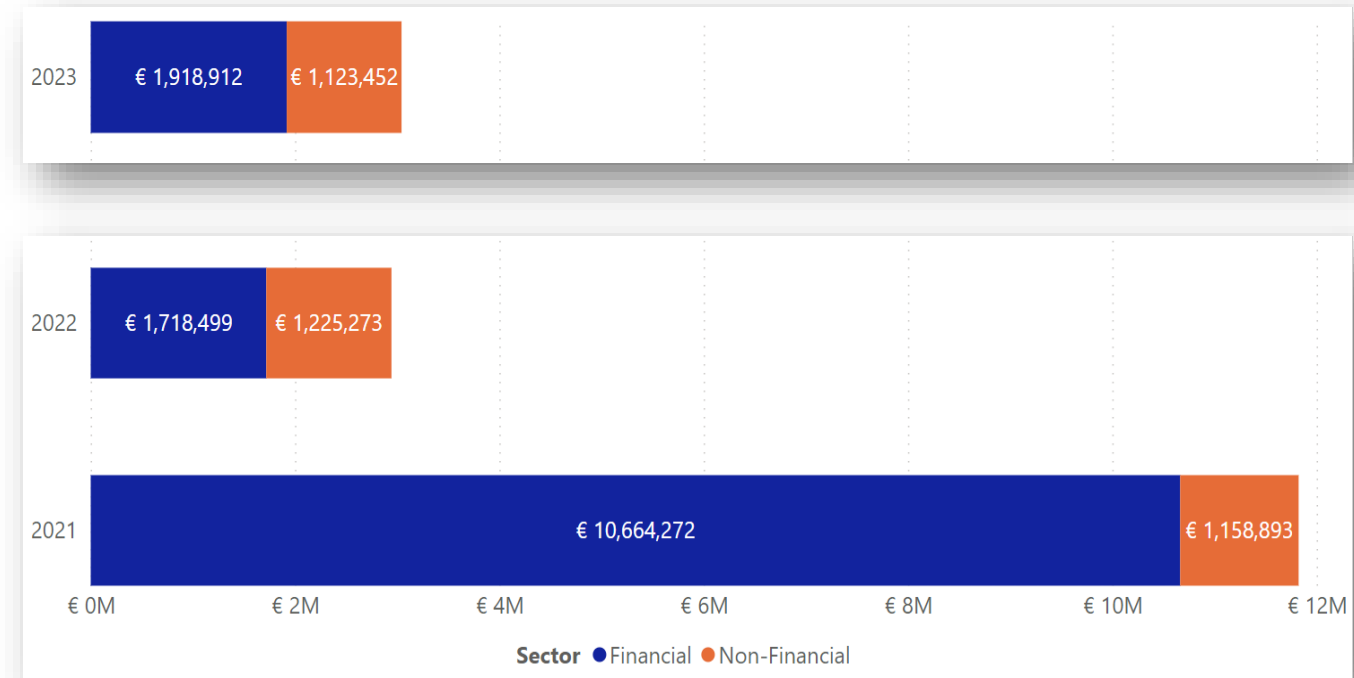
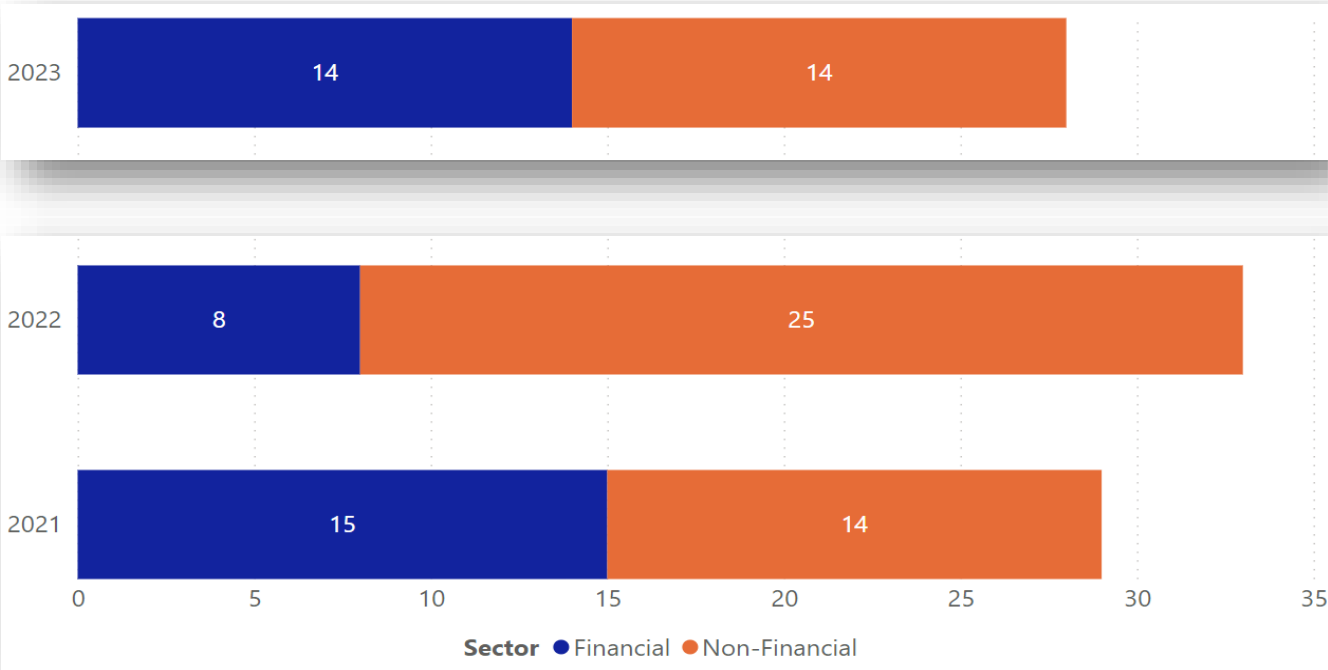
# Administrative Measures: Sector Analysis

(2021 – 2023)



# Financial vs Non-Financial (2021 – 2023)

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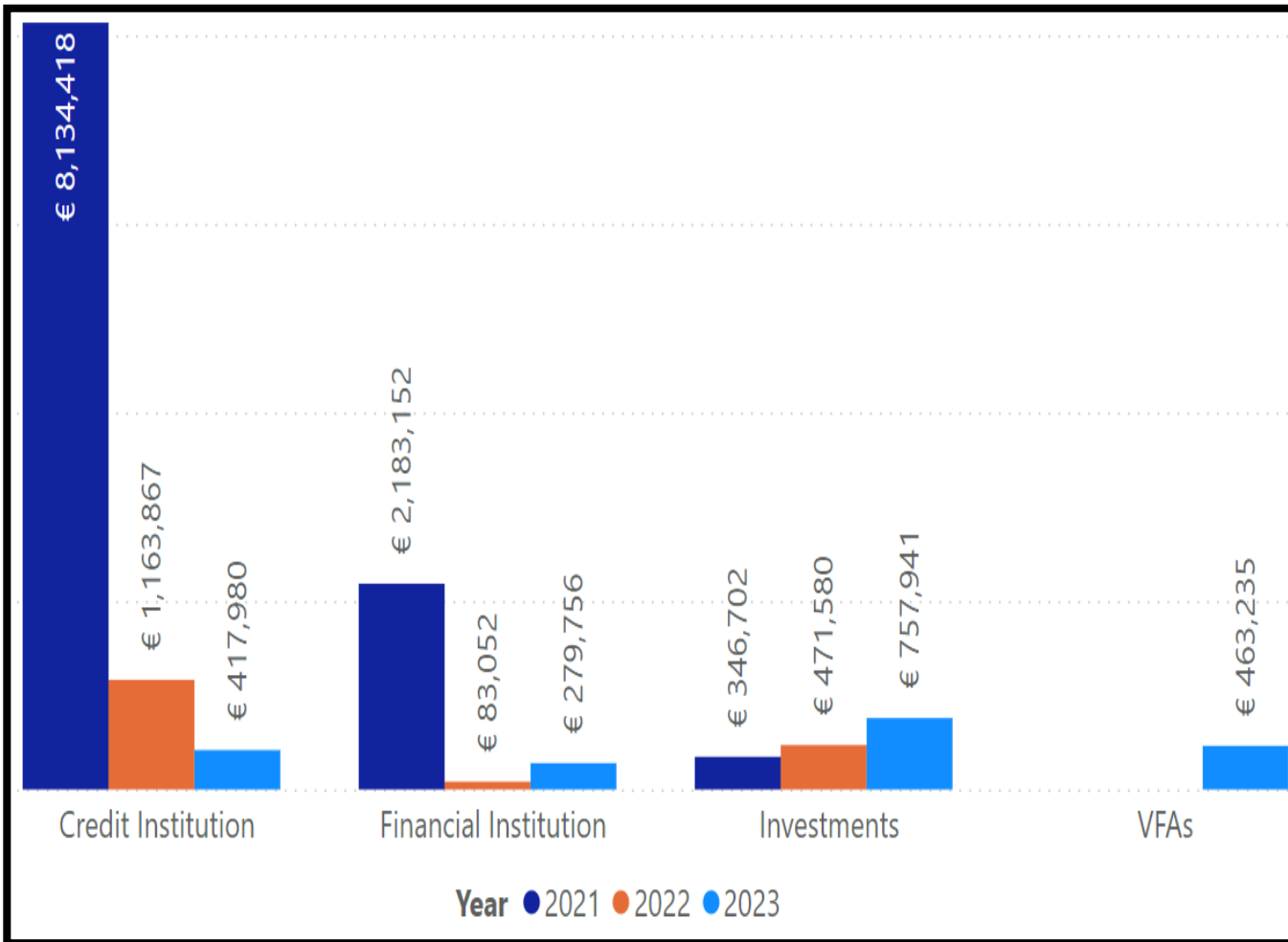
Number of Administrative Measures Imposed

Value of Administrative Penalties Imposed

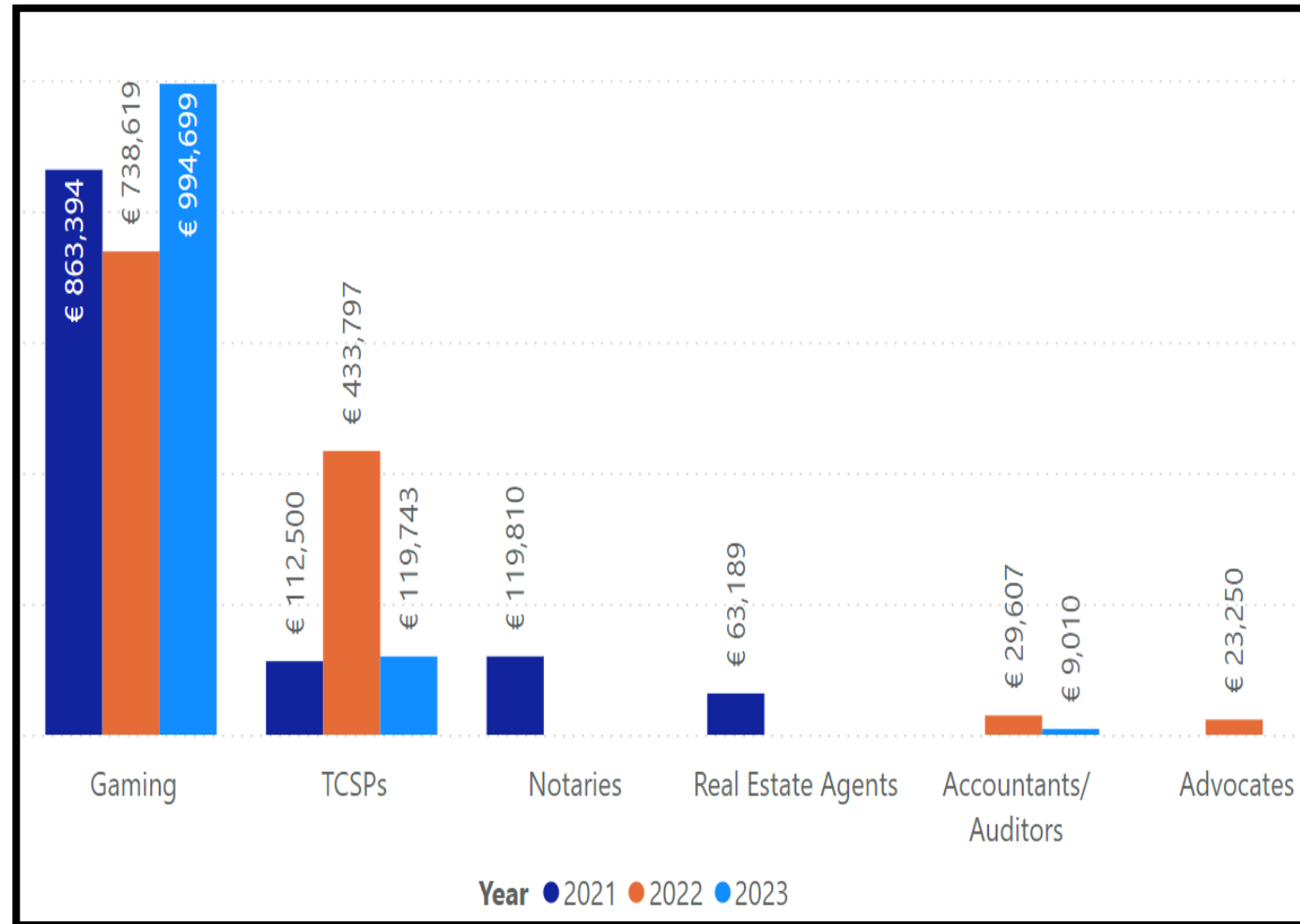


## Dissemination of Financial and Non-Financial (2021 – 2023)

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Financial Sector:  
Value of Administrative Penalties Imposed



Non-Financial Sector:  
Value of Administrative Penalties Imposed





# Insights on Directives Imposed & Directives Concluded

(2021 – 2023)



# Directives Imposed

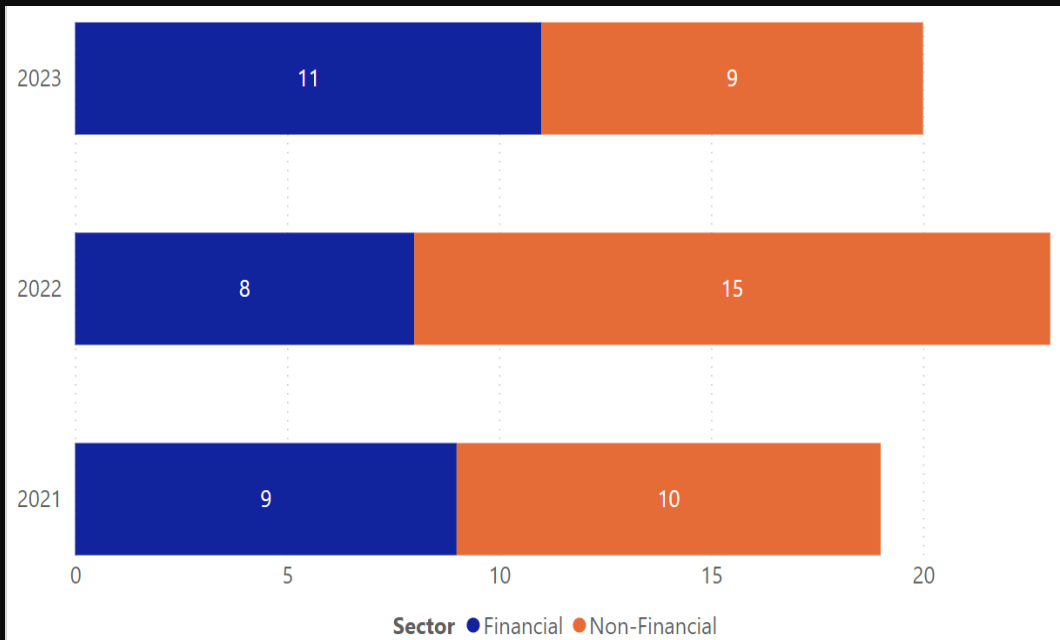
10

42

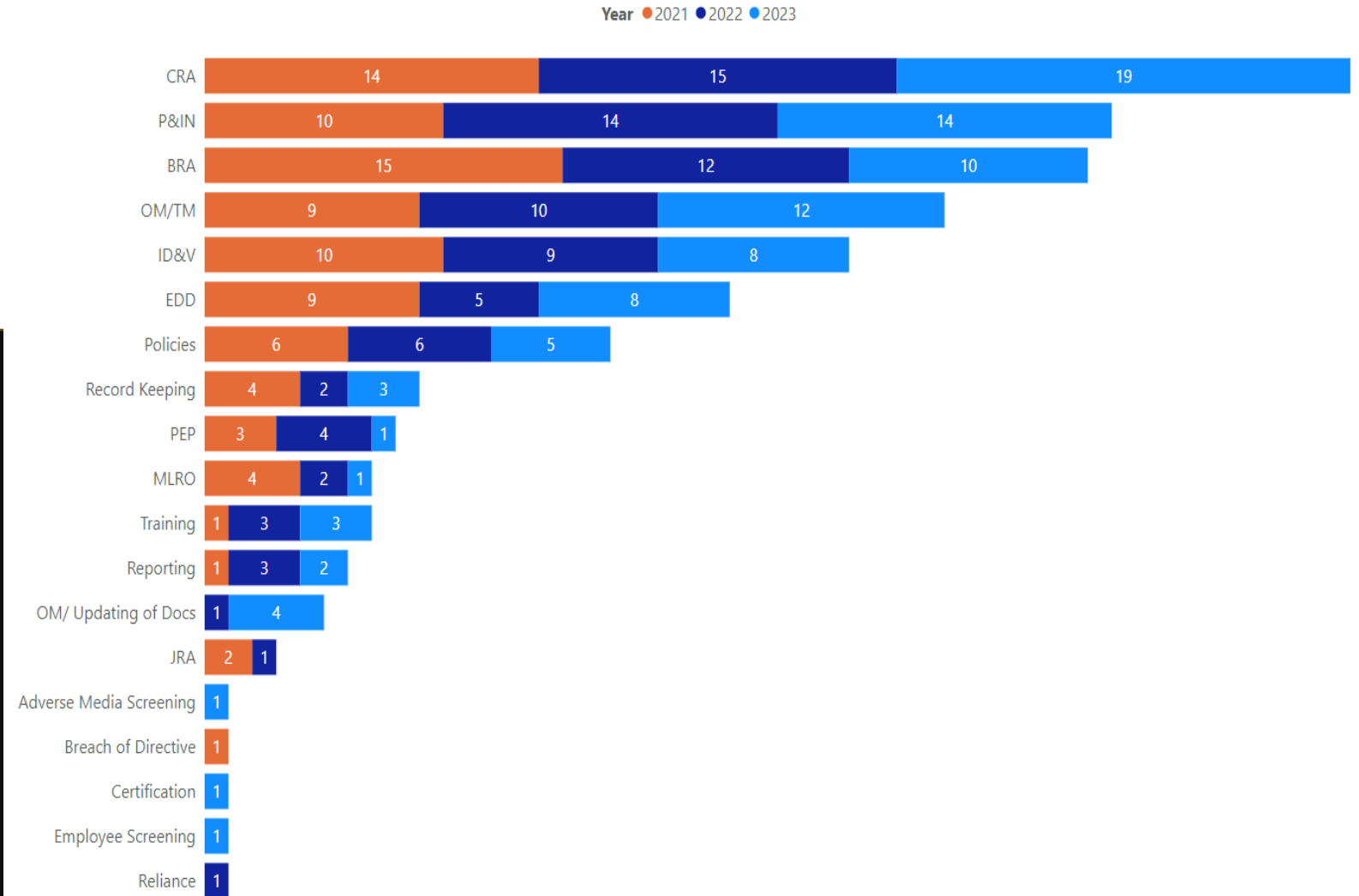
Directives imposed in 2021 & 2022

20

Directives imposed in 2023



Number of Directives imposed:  
Financial vs Non-Financial Sector



AML/CFT Breaches which led to the imposition of a Directive



## Directives Concluded (1 of 2)

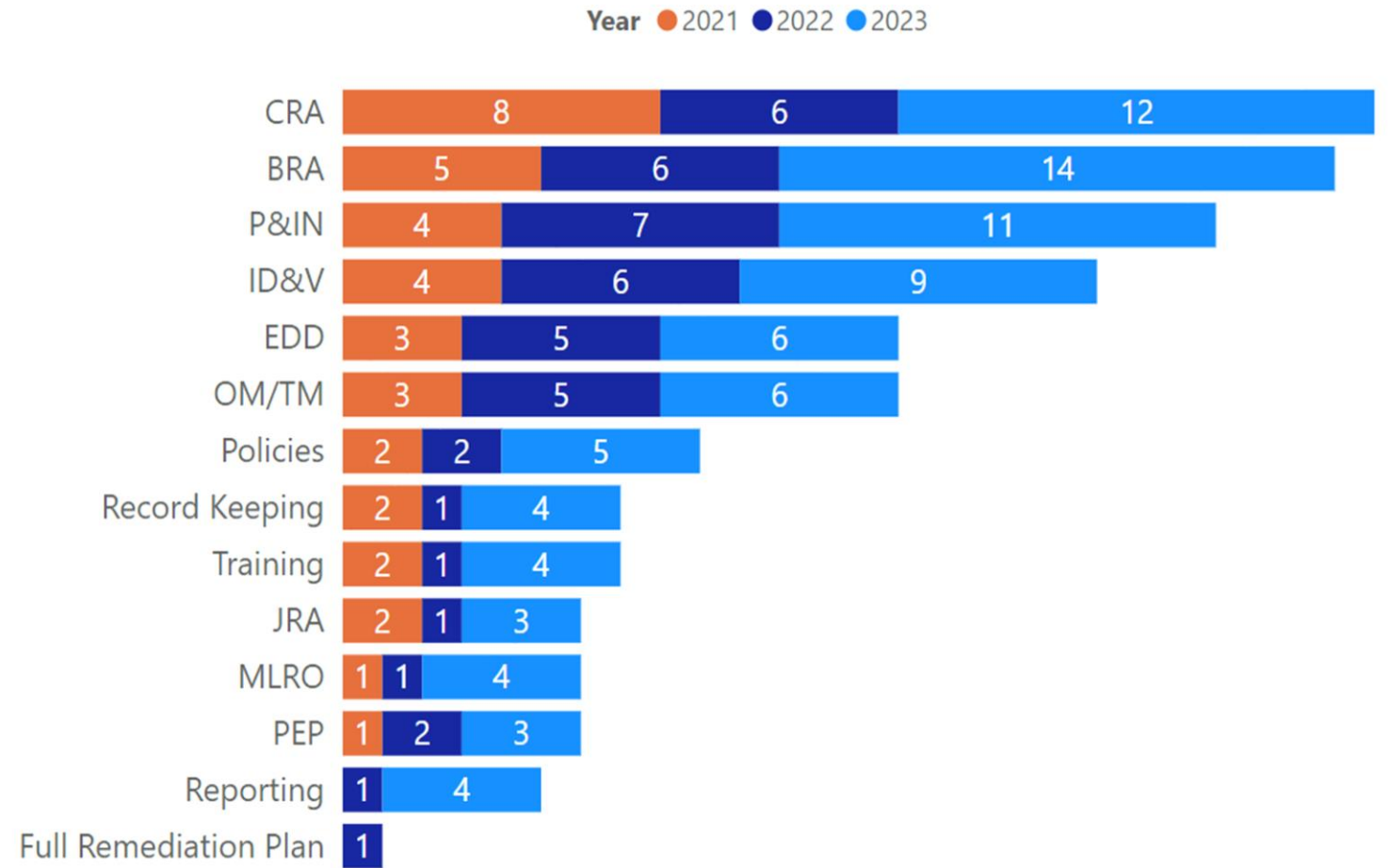
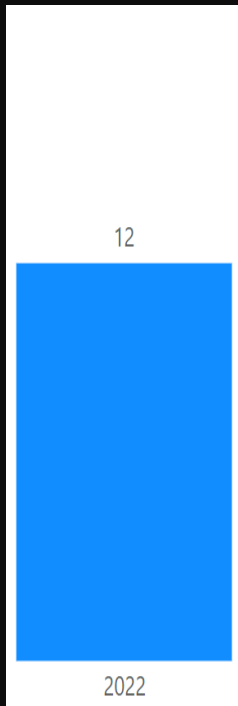
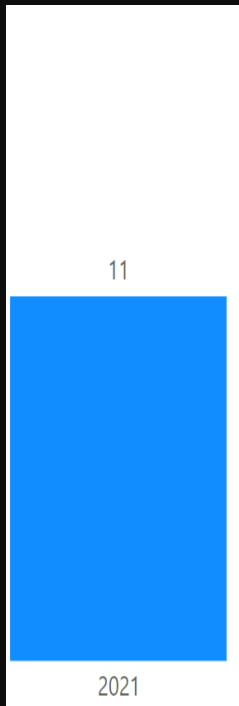
11

23

Directives Concluded in 2021 & 2022

18

Directives Concluded in 2023



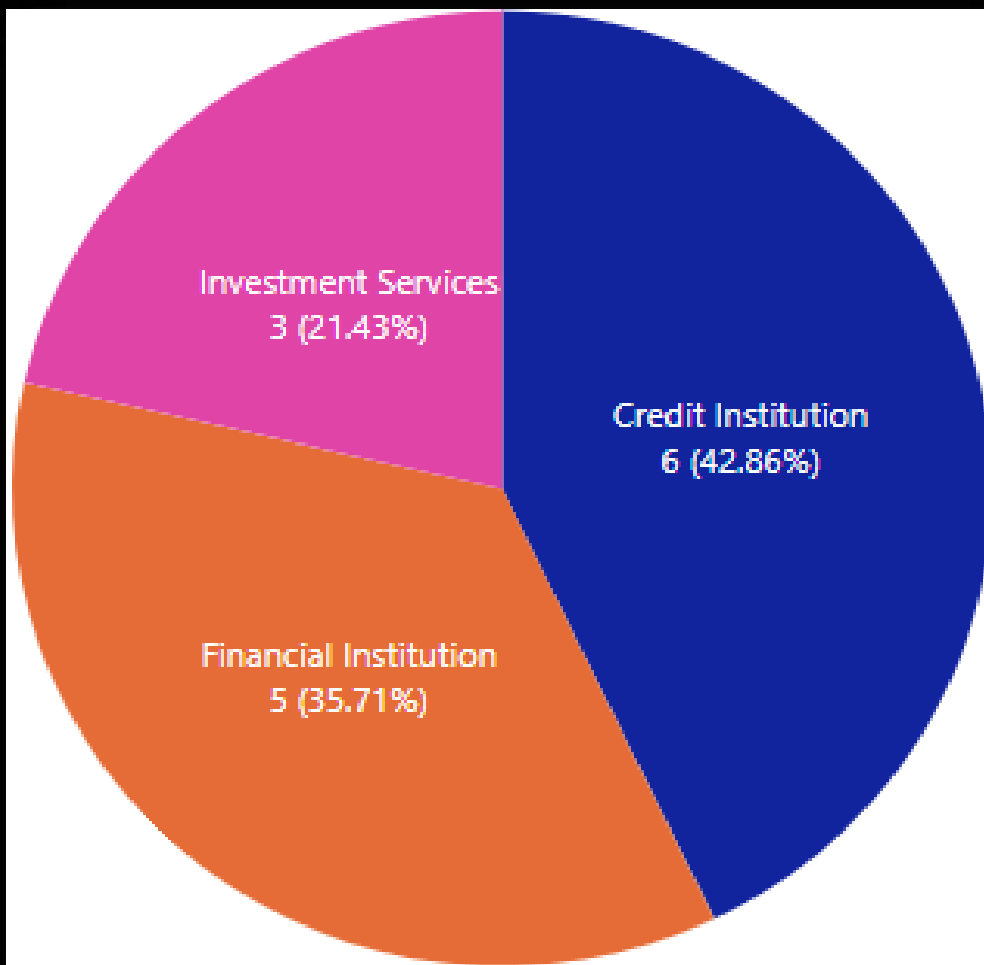
AML/CFT Breaches which were covered by the Directive



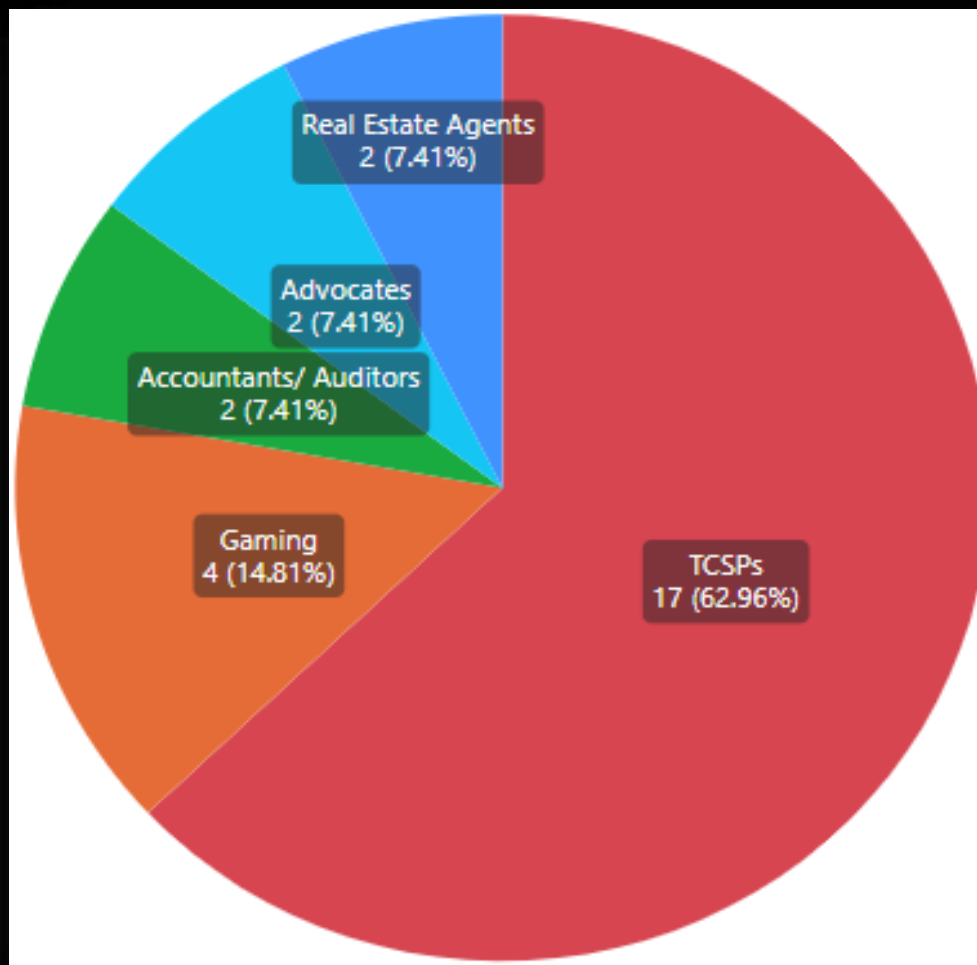
## Directives Concluded (2 of 2)

12

Financial Sector:  
Number of Directives Concluded



Non-Financial Sector:  
Number of Directives Concluded



41

Directives Concluded in  
2021, 2022 & 2023

Over 60

Meetings held in 2021 & 2022

Over 40

Meetings held in 2023



# Thank you!