



Risk Evaluation Questionnaire 2025

A large, faint sunburst graphic is centered on the page, behind the "PREVIEW" text. It consists of many light gray lines radiating from a central point, creating a circular sunburst effect.

PREVIEW

This document contains provisional questions which will be featured in the REQ for PREVIEW for the year 2025. This document should be utilised by subject persons to initiate the gathering of the necessary information required to ensure timely and accurate submission of the 2025 REQ. Questions presented in this document may be subject to change should the FIAU deem it necessary. The 2025 REQ submission is only considered valid when submitted through the FIAU CASPAR portal and upon payment confirmation.

Product Risk

Product Risk (143)

(Relates to Notaries)

Please list the total number of occasional transactions carried out during the previous calendar year as defined by the FIAU's "Interpretative Note: Relevant Activity for Notaries", for the following:

Q1	<input type="text"/>
a) Deed of immovable property sale	
Q2	<input type="text"/>
b) Promise of immovable property sale agreement	
<i>The promise of immovable property sale agreements to be considered are those whereby the deposit exceeding EUR 15,000 passes directly from purchaser to seller (i.e. not those being held by the subject person as intermediary)</i>	
Q3	<input type="text"/>
c) Acquisition of immovable property by title of emphyteusis	
Q4	<input type="text"/>
d) Exchange of immovable property which involves an owelty	
Q5	<input type="text"/>
e) Rescission of deed of transfer of immovable property	
Q6	<input type="text"/>
f) Deeds relating to shares and commercial entities as per Section 2.5 of the FIAU's "Interpretative Note: Relevant Activity for Notaries"	
Q7	<input type="text"/>
g) Deeds relating to trusts, foundations and legal arrangements as per Section 2.6 of the FIAU's "Interpretative Note: Relevant Activity for Notaries"	

Product Risk (144)

(Relates to Notaries)

Please list the total number of occasional transactions carried out during the previous calendar year and that related to deed of immovable property sale that were assessed to be:

<p>Q8</p> <p>a) 'high risk'.</p> <p>[Answer if Q1 is > 0]</p> <p><i>When the risk classification used does not align with the risk classification listed in the options provided, please convert the risk category accordingly and select the option which most closely reflect the used risk classification.</i></p>	<input type="text"/>
<p>Q9</p> <p>b) 'medium risk'.</p> <p>[Answer if Q1 is > 0]</p> <p><i>When the risk classification used does not align with the risk classification listed in the options provided, please convert the risk category accordingly and select the option which most closely reflect the used risk classification.</i></p>	<input type="text"/>
<p>Q10</p> <p>c) 'low risk'.</p> <p>[Answer if Q1 is > 0]</p> <p><i>When the risk classification used does not align with the risk classification listed in the options provided, please convert the risk category accordingly and select the option which most closely reflect the used risk classification.</i></p>	<input type="text"/>

Product Risk (145)

(Relates to Notaries)

Q11

How many occasional transactions carried out during the previous calendar year and that related to deed of immovable property sale were subject to simplified due diligence?

[Answer if Q1 is > 0]

Product Risk (146)

(Relates to Notaries)

Q12

How many occasional transactions carried out during the previous calendar year and that related to deed of immovable property sale were subject to enhanced due diligence?

[Answer if Q1 is > 0]

If additional CDD was applied beyond the requirements of Regulation 7 of the PMLFTR when carrying out the occasional transaction, such as by obtaining information on the SOF and verifying such information, then that particular occasional transaction should be considered to be subject to EDD.

Product Risk (147)

(Relates to Notaries)

Q13

How many deeds of immovable property sale did you publish during the previous calendar year where at least 50% of the consideration was paid through the buyer's own or third party funds (i.e. not financed by a bank loan)?

[Answer if Q1 is > 0]

The deeds of immovable property sale reported in this question should exclude instances where all the consideration (excluding the 10% deposit) was paid through the buyer's own or third party funds.

Product Risk (148)

(Relates to Notaries)

Q14

How many deeds of immovable property sale did you publish during the previous calendar year where all the consideration (excluding 10% deposit) was paid through the buyer's own or third party funds (i.e. not financed by a bank loan)?

[Answer if Q1 is > 0]

The deeds of immovable property sale reported in this question should only include instances where all the consideration (excluding the 10% deposit) was paid through the buyer's own or third party funds.

Product Risk (149)

(Relates to Notaries)

Q15

How many deeds of immovable property sale did you publish during the previous calendar year where the consideration (excluding 10% deposit) was financed through a loan issued through a non-EU/ non-EEA financial / credit institution?

[Answer if Q1 is > 0]

Any portion of consideration financed through a loan issued through a non-EU/non-EEA financial/credit institution is to be taken into consideration

Product Risk (150)

(Relates to Notaries)

Q16

How many deeds of immovable property sale did you publish during the previous calendar year where the consideration (excluding 10% deposit) was financed through loans or other means of finance other than those issued by a regulated financial / credit institution?

[Answer if Q1 is > 0]

Product Risk (151)

(Relates to Notaries)

Please state the number of deeds of immovable property sale you published during the previous calendar year:

Q17 <i>a) where the property had a sale value of up to €350,000?</i> [Answer if Q1 is > 0]	<input type="text"/> <input type="text"/>
Q18 <i>b) where the property had a sale value between €350,001 and €550,000?</i> [Answer if Q1 is > 0]	<input type="text"/> <input type="text"/>
Q19 <i>c) where the property had a sale value between €550,001 and €999,999?</i> [Answer if Q1 is > 0]	<input type="text"/> <input type="text"/>
Q20 <i>d) where the property had a sale value exceeding €1,000,000?</i> [Answer if Q1 is > 0]	<input type="text"/> <input type="text"/>

Product Risk (152)

(Relates to Notaries)

Q21

Please specify the deeds of immovable property sale carried out during the prior calendar year which involved properties in Special Designated Areas.

[Answer if Q1 is > 0]

Product Risk (153)

(Relates to Notaries)

Q22

How many deeds of immovable property sale were published during the prior calendar year which involved successive transactions relating to the same property which took place within a short period of time?

[Answer if Q1 is > 0]

Product Risk (154)

(Relates to Notaries)

Q23

How many deeds of sale did you publish where either the full or part of the consideration was paid using virtual financial assets?

[Answer if Q1 is > 0]

Product Risk (157)

Q24

Do you hold funds on trust or in another fiduciary capacity for customers through a client account, other than when holding funds in respect of a transfer of property?

Choose only one option:

- Yes
- No

Product Risk (155)

(Relates to Notaries or Credit Institutions)

Q25

Were any of the products and/or services provided by you as a subject person, ceased due to de-risking practices during the prior calendar year?

Choose only one option:

- Yes
- No

Product Risk (156)

Q26

Provide details of the de-risking.

[Answer if Q25 is Yes]

Customer Risk

Customer Risk (57)

(Relates to Notaries)

Q1

Please list the total number of deeds of immovable property sales concluded in the last calendar year where all the purchasers were natural persons.

[Answer if Q1 is > 0]

Customer Risk (58)

(Relates to Notaries)

Q2

How many natural persons were included as purchasers in deeds of immovable property sale that you published in the last calendar year?

[Answer if Q1 is > 0]

Customer Risk (59)

(Relates to Notaries)

Q3

How many natural persons were included as sellers in deeds of immovable property sale that you published in the last calendar year?

[Answer if Q1 is > 0]

Customer Risk (60)

(Relates to Notaries)

Q4

Please indicate the number of deeds of immovable property sale concluded in the previous calendar year exceeding the value of €1,000,000, where the purchaser(s) was / were solely natural persons.

[Answer if Q1 is > 0]

Customer Risk (61)

(Relates to Notaries)

Q5

Please list the total number of deeds of immovable property sales concluded in the last calendar year, where at least one of the purchasers were legal persons or arrangements.

[Answer if Q1 is > 0]

Customer Risk (62)

(Relates to Notaries)

Q6

How many legal persons were included as purchasers in deeds of immovable property sale that you published in the last calendar year?

[Answer if Q1 is > 0]

Customer Risk (63)

(Relates to Notaries)

Q7

Please list the number of legal person purchasers involved in a deed of immovable property sale that you published in the last calendar year having multi-tier ownership structures (i.e. at least two additional layers of legal persons on top of the legal person being the purchaser)

[Answer if Q6 is > 0]

Customer Risk (64)

(Relates to Notaries)

Q8

Please list the number of purchasers (a legal person) involved in a deed of immovable property sale that you published in the last calendar year who are voluntary organisations (including charities, foundations and Non-Profit entities).

[Answer if Q6 is > 0]

Customer Risk (65)

(Relates to Notaries)

Q9

Please list the number of purchasers (including beneficial owners) for whom you concluded a deed of immovable property sale during the prior calendar year, that benefited from residency or citizenship schemes, or are applicants or prospective applicants for such schemes.

[Answer if Q1 is > 0]

Customer Risk (66)

(Relates to Notaries)

Q10

Please list the number of purchasers in deeds of immovable property sale published in the previous calendar year that are involved in cash intensive businesses.

[Answer if Q1 is > 0]

Customer Risk (67)

(Relates to Notaries)

Q11

How many legal persons were included as sellers in deeds of immovable property sale that you published in the last calendar year?

[Answer if Q1 is > 0]

Customer Risk (68)

(Relates to Notaries)

Q12

Please list the number of legal person sellers involved in a deed of immovable property sale that you published in the last calendar year having multi-tier ownership structures (i.e. at least two additional layers of legal persons on top of the legal person being the sellers)

[Answer if Q11 is > 0]

Customer Risk (69)

(Relates to Notaries)

Q13

Please list the number of sellers (a legal person) involved in a deed of immovable property sale that you published in the last calendar year who are voluntary organisations (including charities, foundations and not-for-profit entities).

[Answer if Q11 is > 0]

Customer Risk (70)

(Relates to Notaries)

Q14

From the total deeds of immovable property sale, carried out last calendar year, how many natural persons or beneficial owners who were listed as purchasers in the deed were PEPs (including family members and close associates)?

[Answer if Q1 is > 0]

Customer Risk (71)

(Relates to Notaries)

Q15

From the total deeds of immovable property sale, carried out last calendar year, how many natural persons or beneficial owners who were listed as vendors in the deed were PEPs (including family members and close associates)?

[Answer if Q1 is > 0]

Customer Risk (72)

(Relates to Notaries)

Q16

Please list the total number of occasional transactions where at least one of the purchasers operated in dual use items.

[Answer if Q1 is > 0]

Customer Risk (73)

(Relates to Notaries)

Q17

Please list the total number of occasional transactions where at least one of the vendors operated in dual use items.

[Answer if Q1 is > 0]

Customer Risk (74)

(Relates to Notaries)

Q18

How many customers do you have who appeared as buyers in more than three deeds of immovable property sale during the last 2 calendar years?

[Answer if Q1 is > 0]

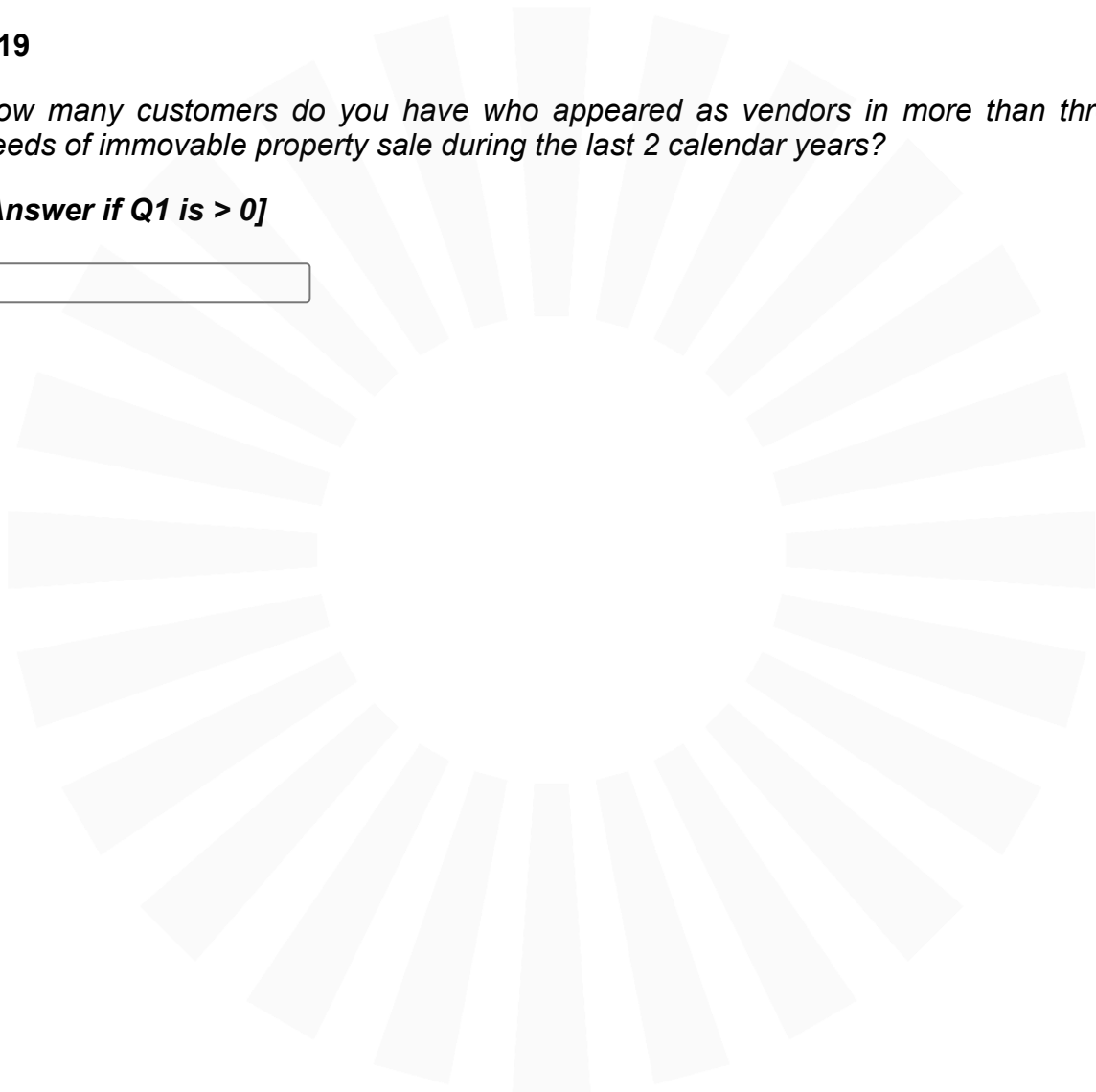
Customer Risk (75)

(Relates to Notaries)

Q19

How many customers do you have who appeared as vendors in more than three deeds of immovable property sale during the last 2 calendar years?

[Answer if Q1 is > 0]



Jurisdiction Risk

Jurisdiction Risk (63)

(Relates to Notaries)

Q1

Please specify the total number of natural person purchasers involved in deeds of immovable property sale which were published in the previous calendar year who were resident in Malta.

[Answer if Q2 is > 0]

Jurisdiction Risk (64)

(Relates to Notaries)

Q2

In the case of natural person purchasers involved in deeds of immovable property sale which were published in the previous calendar year and who were not resident in Malta, please specify the jurisdictions where they reside.

[Answer if Q2 is > 0]

Answer to be provided for each specific Country

or choose one of:

- Not Applicable

Jurisdiction Risk (65)

(Relates to Notaries)

Q3

Please specify the total number of natural person purchasers involved in deeds of immovable property sale which were published in the previous calendar year who were Maltese national.

[Answer if Q2 is > 0]

Jurisdiction Risk (66)

(Relates to Notaries)

Q4

In the case of natural person purchasers involved in deeds of immovable property sale which were published in the previous calendar year and who were not Maltese national, please specify the nationality jurisdiction.

[Answer if Q2 is > 0]

Answer to be provided for each specific Country

or choose one of:

- Not Applicable

Jurisdiction Risk (67)

(Relates to Notaries)

Q5

Please specify the total number of legal person purchasers involved in deeds of immovable property sale which were published in the previous calendar year who were registered in Malta.

[Answer if Q5 is > 0]

Jurisdiction Risk (68)

(Relates to Notaries)

Q6

In the case of legal person purchasers involved in deeds of immovable property sale which were published in the previous calendar year and who were not registered in Malta, please specify the jurisdictions where they are registered.

[Answer if Q5 is > 0]

Answer to be provided for each specific Country

or choose one of:

- Not Applicable

Jurisdiction Risk (69)

(Relates to Notaries)

Q7

Please specify the total number of beneficial owners of legal person purchasers involved in deeds of immovable property sale which were published in the previous calendar year who were resident in Malta.

[Answer if Q5 is > 0]

Jurisdiction Risk (70)

(Relates to Notaries)

Q8

In the case of beneficial owners of legal person purchasers involved in deeds of immovable property sale which were published in the previous calendar year and

who were not resident in Malta, please specify the jurisdictions where they are resident.

[Answer if Q5 is > 0]

Answer to be provided for each specific Country

or choose one of:

- Not Applicable

Jurisdiction Risk (71)

(Relates to Notaries)

Q9

Please specify the total number of beneficial owners of legal person purchasers involved in deeds of immovable property sale which were published in the previous calendar year who were Maltese national.

[Answer if Q5 is > 0]

Jurisdiction Risk (72)

(Relates to Notaries)

Q10

In the case of beneficial owners of legal person purchasers involved in deeds of immovable property sale which were published in the previous calendar year and who were not Maltese national, please specify the nationality jurisdiction.

[Answer if Q5 is > 0]

Answer to be provided for each specific Country

or choose one of:

- Not Applicable

Jurisdiction Risk (73)

(Relates to Notaries)

Q11

If a natural person or a beneficial owner were a party to a deed of immovable property sale carried out in the previous calendar year, kindly indicate the jurisdiction in which these persons were considered to be a PEP.

[Answer if Q5 is > 0]

Answer to be provided for each specific Country

or choose one of:

- Not Applicable

Jurisdiction Risk (74)

(Relates to Notaries)

Q12

Please specify the number of deeds of immovable property sale where the property acquisition was mainly (at least 50%) funded through own funds or third party funds (excluding loans from licensed credit/financial institutions) that were generated outside of Malta.

Interface Risk

Interface Risk (14)

(Relates to Notaries)

Q1

From the deeds of immovable property sale published in the prior calendar year, how many purchasers (natural person) were represented by another person through a power of attorney?

[Answer if Q1 is > 0]

Interface Risk (15)

(Relates to Notaries)

Q2

From the deeds of immovable property sale published in the prior calendar year, how many sellers (natural person) were represented by another person through a power of attorney?

[Answer if Q1 is > 0]

Interface Risk (16)

(Relates to Notaries)

Q3

Out of the total number of occasional transactions meeting the definition of relevant activity in terms of the FIAU's "Interpretative Note: Relevant Activity for Notaries" other than deeds involving the transfer of immovable property, how many of these included customers who were onboarded or serviced without having been met on a face-to-face basis?

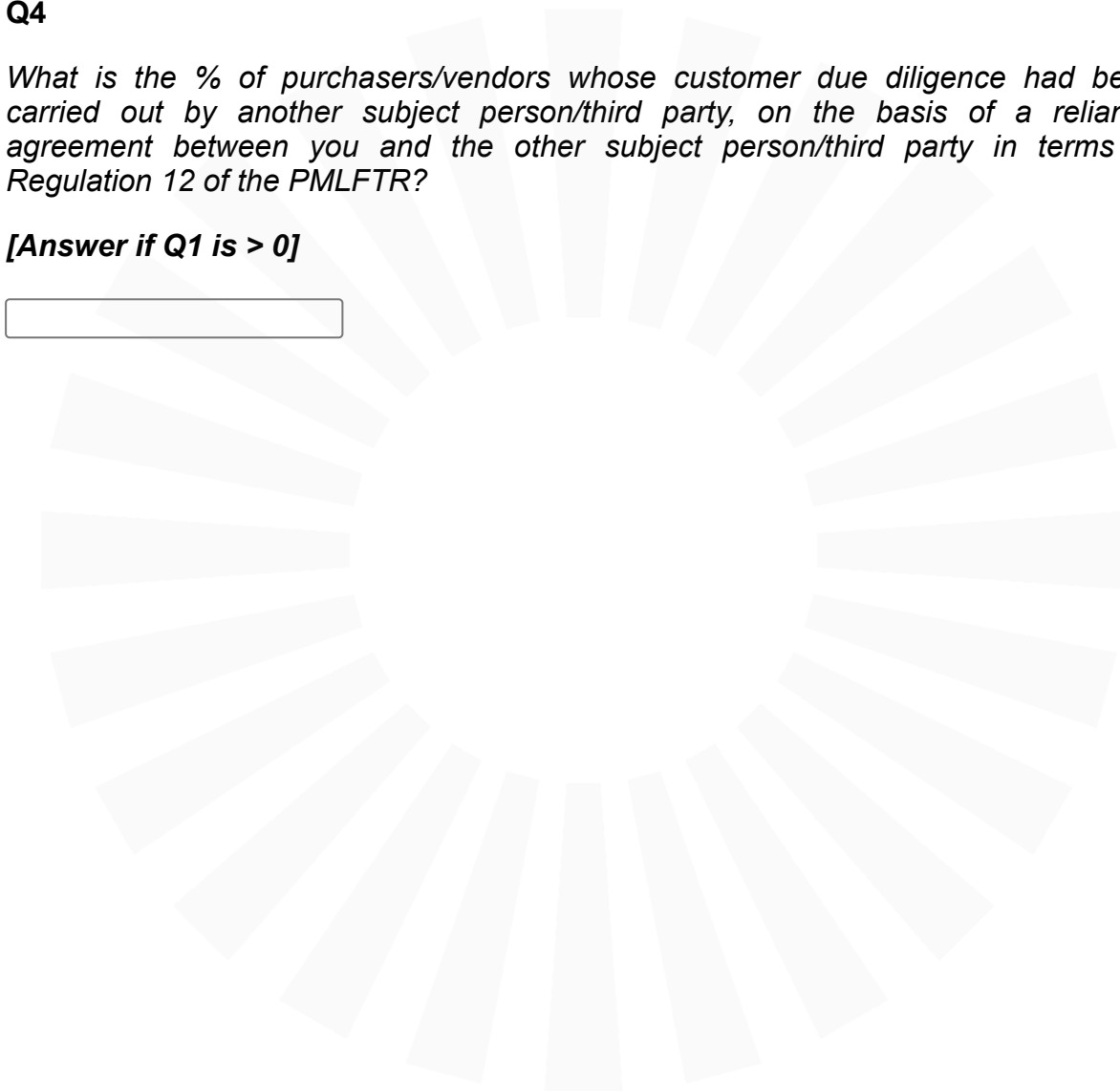
Interface Risk (17)

(Relates to Notaries)

Q4

What is the % of purchasers/vendors whose customer due diligence had been carried out by another subject person/third party, on the basis of a reliance agreement between you and the other subject person/third party in terms of Regulation 12 of the PMLFTR?

[Answer if Q1 is > 0]



MLRO, Monitoring Function and Employees

MLRO, Monitoring Function and Employees (20)

Q1

For how many years have you been acting as a notary?

MLRO, Monitoring Function and Employees (21)

Q2

Do you carry out your work within a notarial office.

Choose only one option:

- Yes
- No

MLRO, Monitoring Function and Employees (22)

Q3

Please provide the name of the notarial office.

[Answer if Q2 is Yes]

Business Risk Assessment

Business Risk Assessment (10)

Q1

What is your most recent inherent risk scoring or rating for ML/FT in the Business Risk Assessment (BRA)?

When the risk classification in the BRA does not align with the risk classification listed in the options provided, please convert the risk category accordingly and select the option which most closely reflects the risk classification in the BRA.

Choose only one option:

- Low
- Lower medium
- Higher medium
- High
- Very high
- BRA not carried out

Business Risk Assessment (12)

Q2

What is your most recent BRA controls effectiveness rating?

[Answer if Q1 is Low, Lower medium, Higher medium, High, Very high]

When the risk classification in the BRA does not align with the risk classification listed in the options provided, please convert the risk category accordingly and select the option which most closely reflects the risk classification in the BRA.

Choose only one option:

- Low
- Lower Medium
- Higher Medium
- High
- Very High
- Control effectiveness not rated

Business Risk Assessment (22)

Q3

What is your most recent residual risk scoring or rating for ML/FT in the BRA?

[Answer if Q1 is Low, Lower medium, Higher medium, High, Very high]

When the risk classification in the BRA does not align with the risk classification listed in the options provided, please convert the risk category accordingly and select the option which most closely reflects the risk classification in the BRA.

Choose only one option:

- Low
- Lower medium
- Higher medium
- High
- Very high
- Residual Risk not rated

Business Risk Assessment (26)

Q4

What are the 3 highest customer risk factors resulting from the BRA?

[Answer if Q1 is Low, Lower medium, Higher medium, High, Very high]

Business Risk Assessment (27)

Q5

What are the 3 highest product/service/transaction risk factors resulting from the BRA?

[Answer if Q1 is Low, Lower medium, Higher medium, High, Very high]

Business Risk Assessment (28)

Q6

What are the 3 highest geographical risk factors resulting from the BRA?

[Answer if Q1 is Low, Lower medium, Higher medium, High, Very high]

Business Risk Assessment (24)

Q7

Please indicate the jurisdictions which you consider as high risk

[Answer if Q1 is Low, Lower medium, Higher medium, High, Very high]

Exclude jurisdictions considered as Non-Reputable in terms of Chapter 8 of the Implementing Procedures as at the end of the prior calendar year.

Business Risk Assessment (29)

Q8

What are the 3 highest interface risk factors resulting from the BRA?

[Answer if Q1 is Low, Lower medium, Higher medium, High, Very high]

Business Risk Assessment (23)

Q9

Did you review and/or update the BRA in the prior calendar year?

[Answer if Q1 is Low, Lower medium, Higher medium, High, Very high]

Choose only one option:

- Yes
- No



Customer Acceptance and Risk Assessment

Customer Acceptance and Risk Assessment (109)

Q1

Has there been a review of your Customer Acceptance Policy (CAP) in the prior calendar year?

Choose only one option:

- Yes
- No
- CAP Not Available

Customer Acceptance and Risk Assessment (112)

Q2

Did this review result in major changes?

[Answer if Q1 is Yes]

Choose only one option:

- Yes
- No

Customer Acceptance and Risk Assessment (113)

Q3

Please explain these changes.

[Answer if Q2 is Yes]

Customer Acceptance and Risk Assessment (117)

Q4

According to your CAP, which customers are likely to pose a higher than average risk of ML/FT?

[Answer if Q1 is Yes, No]

Choose "Not Available" if information cannot be provided.

or choose one of:

- Not Available

Customer Acceptance and Risk Assessment (110)

Q5

As at end of the last calendar year, how many customers fell outside the CAP but were provided with services?

[Answer if Q1 is Yes, No]

Customer Acceptance and Risk Assessment (99)

Q6

Is there a documented Customer Risk Assessment (CRA) methodology?

Choose only one option:

- Yes
- No
- CRA Methodology Not Available

Customer Acceptance and Risk Assessment (100)

Q7

List the 5 risk factors that have the highest risk weighting when carrying out the CRA.

[Answer if Q6 is Yes]

Customer Acceptance and Risk Assessment (115)

Q8

How many occasional transactions carried out last year, where CDD has not been completed?

Customer Acceptance and Risk Assessment (103)

Q9

How many potential customers were refused onboarding for ML/FT reasons during the prior calendar year?

Customer Acceptance and Risk Assessment (104)

Q10

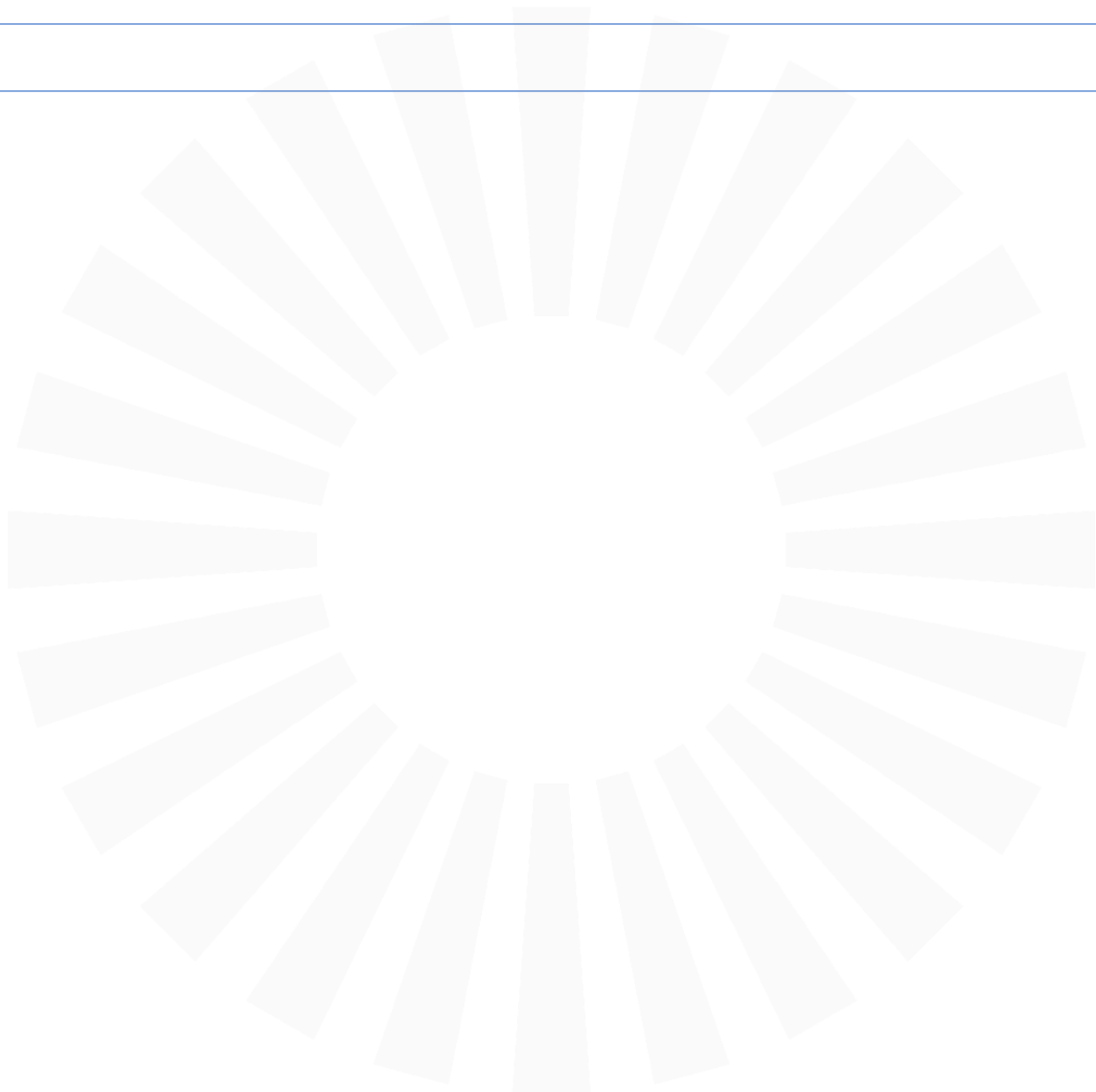
What were the main reasons why potential customers were refused onboarding for ML/FT reasons?

[Answer if Q9 is > 0]

Customer Acceptance and Risk Assessment (116)

Q11

In what cases do you collect documentation on the buyer's source of wealth and source of funds when carrying out a deed of immovable property sale?



Policies & Procedures

Policies and Procedures (53)

Q1

How frequently do you review and / or update your AML/CFT written policies and procedures?

Choose only one option:

- No reviews and updates are carried out
- Monthly basis
- Quarterly basis
- Half yearly
- Yearly
- Every 2 years
- Over 2 years
- No written AML/CFT policies and procedures

Policies and Procedures (37)

Q2

How do you determine whether customers and, where applicable their beneficial owners, are politically exposed persons (PEPs) or PEPs' family members or close associates?

Choose more than one option:

- No checks carried out
- Declaration by customer
- Automated tools
- Public searches
- Checks are outsourced

Policies and Procedures (38)

Q3

How do you determine whether individuals/entities are sanctioned?

Choose more than one option:

- No checks carried out
- Declaration by customer
- Automated tools
- Public searches
- Checks are outsourced

Policies and Procedures (54)

Q4

Have you ever engaged an independent third party to carry out an independent audit to assess your compliance with AML/CFT obligations?

Choose only one option:

- Yes - During the past 2 years
- Yes - Over 2 years ago
- No

Policies and Procedures (55)

Q5

What was the overall result of the last AML/CFT independent audit carried out?

[Answer if Q4 is Yes - During the past 2 years, Yes - Over 2 years ago]

Choose only one option:

- Satisfactory
- Satisfactory but minor improvements required
- Satisfactory but material improvements required
- Unsatisfactory

Policies and Procedures (56)

Q6

List the 3 main "high risk" audit issues that were identified in the AML/CFT independent audit reports issued in the past 2 calendar years.

[Answer if Q4 is Yes - During the past 2 years, Yes - Over 2 years ago]

Policies and Procedures (57)

Q7

List the 3 main "medium risk" audit issues that were identified in the AML/CFT independent audit reports issued in the past 2 calendar years.

[Answer if Q4 is Yes - During the past 2 years, Yes - Over 2 years ago]

Policies and Procedures (60)

Q8

What topics were subject to an AML/CFT independent audit in the past 2 calendar years?

[Answer if Q4 is Yes - During the past 2 years, Yes - Over 2 years ago]

Choose more than one option:

- Business risk assessment
- Customer risk assessment procedures
- Customer and beneficial ownership identification and verification
- Purpose and intended nature of business relationship
- AML/CFT Governance
- Others

Policies and Procedures (61)

Q9

If others, explain

[Answer if Q8 is Others]



Outsourcing

Outsourcing (7)

Q1

Have you outsourced the carrying out of any applicable AML/CFT obligations during the previous calendar year?

Choose only one option:

- Yes
- No

Outsourcing (8)

Q2

List the service provider/s to whom AML/CFT obligations have been outsourced.

[Answer if Q1 is Yes]

Outsourcing (9)

Q3

Please specify the obligations that are being outsourced.

[Answer if Q1 is Yes]

Choose more than one option:

- Drafting of BRA
- CRA
- CDD - PMLFTR Reg 7(1)(a) and 7(1)(b)
- CDD - PMLFTR Reg 7(1)(c)
- CDD - PMLFTR Reg 7(2)(a)
- CDD - PMLFTR Reg 7(2)(b)
- Record-Keeping
- MLRO function

- Drafting of policies and procedures

Outsourcing (10)

Q4

What is the frequency of assessing the quality of the services provided?

[Answer if Q1 is Yes]

Choose only one option:

- No assessments are carried out
- Approximately on a daily basis
- Weekly or bi-weekly basis
- Monthly basis
- Quarterly basis
- Half yearly
- Yearly
- Every 2 years
- Over 2 years

Outsourcing (11)

Please indicate the jurisdictions where the service provider/s to whom you have outsourced the carrying out of any applicable AML/CFT obligations, are located..

Q5 <i>Malta</i> [Answer if Q1 is Yes]	<i>Choose only one option:</i> <ul style="list-style-type: none"> • Yes • No
Q6 <i>EU/EEA (Excluding Malta)</i> [Answer if Q1 is Yes] <i>Any EU/EEA or Non-EU/Non-EEA jurisdictions identified by the FATF as 'High Risk Jurisdictions Subject to a Call for Action' or 'Jurisdictions Under Increased Monitoring' and/or the EU Commission Delegated Acts on High Risk Third Countries should be recorded under the option "Countries identified under the FATF 'High Risk Jurisdictions Subject to a Call for Action' and</i>	<i>Choose only one option:</i> <ul style="list-style-type: none"> • Yes • No

<p><i>'Jurisdictions Under Increased Monitoring' and the EU Commission Delegated Acts on High Risk Third Countries". This list is as at 31 December 2024.</i></p>	
<p>Q7</p> <p>Non-EU/Non-EEA</p> <p>[Answer if Q1 is Yes]</p> <p><i>Any EU/EEA or Non-EU/Non-EEA jurisdictions identified by the FATF as 'High Risk Jurisdictions Subject to a Call for Action' or 'Jurisdictions Under Increased Monitoring' and/or the EU Commission Delegated Acts on High Risk Third Countries should be recorded under the option "Countries identified under the FATF 'High Risk Jurisdictions Subject to a Call for Action' and 'Jurisdictions Under Increased Monitoring' and the EU Commission Delegated Acts on High Risk Third Countries". This list is as at 31 December 2024.</i></p>	<p>Choose only one option:</p> <ul style="list-style-type: none"> • Yes • No
<p>Q8</p> <p><i>Countries identified under the FATF 'High Risk Jurisdictions Subject to a Call for Action' and 'Jurisdictions Under Increased Monitoring' and the EU Commission Delegated Acts on High Risk Third Countries.</i></p> <p>[Answer if Q1 is Yes]</p> <p><i>Any EU/EEA or Non-EU/Non-EEA jurisdictions identified by the FATF as 'High Risk Jurisdictions Subject to a Call for Action' or 'Jurisdictions Under Increased Monitoring' and/or the EU Commission Delegated Acts on High Risk Third Countries should be recorded under the option "Countries identified under the FATF 'High Risk Jurisdictions Subject to a Call for Action' and 'Jurisdictions Under Increased Monitoring' and the EU Commission Delegated Acts on High Risk Third Countries". This list is as at 31 December 2024.</i></p>	<p>Choose only one option:</p> <ul style="list-style-type: none"> • Yes • No
<p>Q9</p> <p><i>Please choose the applicable countries identified under the FATF 'High Risk Jurisdictions Subject to a Call for Action' and 'Jurisdictions Under Increased Monitoring' and the EU Commission Delegated Acts on High Risk Third Countries.</i></p> <p>[Answer if Q8 is Yes]</p>	<p>Choose more than one option:</p> <ul style="list-style-type: none"> • Afghanistan • Algeria • Angola • Barbados • Bulgaria • Burkina Faso • Cameroon • Congo, the Democratic Republic of the • Cote D'Ivoire

	<ul style="list-style-type: none">• Croatia• Gibraltar• Haiti• Iran, Islamic Republic of• Jamaica• Kenya• Korea, Democratic People's Republic of• Lebanon• Mali• Monaco• Mozambique• Myanmar• Namibia• Nigeria• Panama• Philippines• Senegal• South Africa• South Sudan• Syrian Arab Republic• Tanzania, United Republic of• Trinidad and Tobago• Uganda• United Arab Emirates• Vanuatu• Venezuela• Vietnam• Yemen
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Record-keeping

Record-keeping (1)

Q1

Are records relating to CDD measures applied on customers retained physically or in electronic format?

Choose only one option:

- Physically
- Electronic format
- Both physically and in electronic format



Training

Training (9)

Q1

Please provide the number of AML/CFT training hours that you received in the previous calendar year?

or choose one of:

- Not Applicable



Reporting

Reporting (18)

Q1

How many customers were subject to a request for information from Maltese authorities during the prior calendar year?

Reporting (19)

Q2

How many customers were subject to a request for information from foreign authorities during the prior calendar year?